

## ACCOUNT OPENING KIT

Segment	DP ID		SEBI Regn No.
Central Depository Services Limited (CDSL)	12072100		IN-DP-270-2016
Compliance Officer : MADI	HVI JAIN		
Phone No. : 9004628285		Eı	nail Id : Compliance@evermore.in

### **CONTACT DETAILS:**

Regd Off.:		TH FLOOR, TOWER -1 GIFT CITY	Tel									
	GANDHINA	AGAR 382355 GUJARAT	No.	7718841383								
Corp/Corre-	<b>Unit-3/1</b> St	Floor , Raghuleela Mega Mall,	Tel									
spondence	Behind Po	iser Bus Depot	No.	022-42229999								
Address:	,Kandivali	(W),Mumbai-400067										
Fax No.:022-422	229988	Email: cdsl@evermore.in	vermore.in Website: www.evermo									

For any grievance/dispute please contact EVERMORE STOCK BROKERS PVT. LTD. at the above mentioned address or E-Mail <a href="mailto:ig@evermore.in">ig@evermore.in</a> and compliance@evermore.in

CLIENT NAME												
CLIENT ID	1	2	0	7	2	1	0	0				
Account Opening Date												

In case not satisfied with the response, please contact the concerned exchange(s)/depository at.

Sr.No	Exchange	Email ID	Phone No.
1.	Securities & Exchange Board of India(SEBI)	sebi@sebi.gov.in	022-264499500/40459950
2.	CentralDepository Services Ltd (CDSL)	investors@cdslindia.com/ complaints@cdslindia.com	022-22728207

# Additional KYC Form for Opening a Demat Account For Individuals

## **EVERMORE STOCK BROKERS PVT. LTD.(DP ID-72100)**

Date

Client ID

**Regd Off.:** UNIT -1-A 15TH FLOOR, TOWER -1 GIFT CITY GANDHINAGAR 382355 GUJARAT **Corp/Corre-spondence Address:** Unit-3/1<sup>St</sup> Floor, Raghuleela Mega Mall, Behind Poiser Bus Depot .Kandivali(W).Mumbai-400067

(To be filled by the Depository Participant)

Application No.

DP ID

DP Internal Reference No.

	pplicant in <b>BLOCK LETTERS</b> in English) open a demat account in my/ our name as per following details:-
	PAN
Cala / Final	UID
Sole / First Holder's Name	UCC
	Exchange Name & ID
Second Holder's	PAN
Name	UID
Third Holder's	PAN
Name	UID
Name *	cisting of Develop (AOD). Destructive Firm Houseistand Trust at a likework
the account is opened	ciation of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although in the name of the natural persons, the name of the Firm, Association of this Firm, Unregistered Trust, etc., should be mentioned above.
Type of Account (F	Please tick whichever is applicable)
Status	Sub — Status
☐ Individual	☐ Individual Resident ☐ Individual-Director ☐ Individual Director's Relative ☐ Individual HUF / AOP ☐ Individual Promoter ☐ Minor ☐ Individual Margin Trading A/C (MANTRA) ☐ Others(specify) ☐ ☐ Individual Margin Trading A/C (MANTRA) ☐ Others(specify)
□ NRI	<ul> <li>□ NRI Repatriable</li> <li>□ NRI Non-Repatriable</li> <li>□ NRI Non-Repatriable Promoter</li> <li>□ NRI Non-Repatriable Promoter</li> <li>□ Others (specify)</li> </ul>
☐ Foreign National	☐ Foreign National ☐ Foreign National - Depository Receipts ☐ Others (specify)
Details of Guardia	ı (in case the account holder is minor)
Guardian's Name	PAN
Relationship with the applicant	, ,

		It option would b	my[Automatic Credit] be							
Yes')	·	·	☐ Yes	□ No						
. / We would like to instructions in my /our nstruction from my/our option would be 'No')	account without	any other further	er	□ No						
Requirement	Fortnightly	llation 🗖 Daily		eekly						
/ We request you to s he email ID	end Electronic Tr	ransaction-cum-Ho	olding Stat	ement at	⊒ Yes		lo			
/ We would like to share	the email ID with	the RTA			⊒ Yes		lo			
I / We would like to rece Physical and Electronic Tick the applicable box.	eive the Annual Re	eport 🗖 Phy	vsical / 🗖		•	oth				
Do you wish to recei bank account given be default option would be	elow through EC	•	,	) Yes	□ No					
[ECS is mandatory fo		ed by SEBI from t	time to							
[ECS is mandatory fo time ]  Bank Details [Div  Bank Code (9 digit MICR code)  IFS Code (11 character)	r locations notifie	<u> </u>	ime to							
[ECS is mandatory fo time ]  Bank Details [Div  Bank Code (9 digit MICR code)	r locations notifie	<u> </u>	time to							
[ECS is mandatory fo time ]  Bank Details [Div  Bank Code (9 digit MICR code)  IFS Code (11 character)	r locations notifie	etails]	Others	(specify)_						
[ECS is mandatory fo time ]  Bank Details [Div  Bank Code (9 digit MICR code)  IFS Code (11 character)  Account number	r locations notified	etails]		(specify)_						
[ECS is mandatory fo time ]  Bank Details [Div  Bank Code (9 digit MICR code)  IFS Code (11 character)  Account number  Account type	r locations notified	etails]		(specify)						
[ECS is mandatory fo time ]  Bank Details [Div  Bank Code (9 digit MICR code)  IFS Code (11 character)  Account number  Account type  Bank Name	r locations notified	etails]		(specify)						

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
- (ii) Photocopy of the Bank Statement having name and address of the BO
- (iii)Photocopy of the Passbook having name and address of the BO, (or)
- (iv)Letter from the Bank.
  - > In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.

SMS Alert Facility Refer to Terms & Conditions given as Annexure - 2.4	[(Mandatory , if yo	ou are giving Power on ted & you do not wis option).	of Attorney ( POA)]	
-Transactions Using Secured Texting Facility (TRUST). Refer to Terms and Conditions Annexure – 2.6	SMS Alert Facility prescribed by CDS Yes No I/We wish to reg	. I have read and u	ng the Mobile number understood the Terms a clearing member IDs TRUST  Clearing Member II (Optional)	and Conditions under my/our
<b>E</b> asi	www.cdslindia.cor	to view his ISIN bala		·

### **OTHER** DETAILS:

Gross Annual Income details(Please Specify):Income Range per Annum											
☐ Below Rs.1 Lac ☐ 1-5 Lac ☐	] 5-10 Lac	☐ 10-25 Lac									
OR											
Net-worth (should not be older than 1	Amount	Rs.									
year):											
	As on	DD/MM/YYYY									
Occupation (please tick any one and give brief details): Private Sector/ Public Sector/ Government											
Service/Business/											
Professional/ Agriculturist/ Retired/ Housewife	e/ Student/ Ot	hers									
Please tick, if applicable: Politically Exposed Person (PEP)/ Related to a Politically Exposed Person (PEP)											
Any Other Information:											

Annexure: A

## **Nomination Form**

Nomination Registration No.	Dated

TM / DP							(To be filled in by individual applying singly or jointly)											
Name and	Addı	ess.					(1000)	jiiieu	in by i	illivill	սա աբլ	piying sin	igiy or	joinii	<i>y )</i>			
						UCC						CLIE	NT					
DATE						DP ID						ID						
				omina	tion.	[As per de	tails give	n belo	ow]									
Nominatio	on De	etails	5															
I/We wish	to m	ıake	a nor	ninati	on ai	nd do herel	ov nomina	ate the	follov	ving n	erson(	s) who sh	ıall red	reive s	ıll the	9886	ts h	eld
	I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.																	
· · · · · · · · · · · · · · · · · · ·																		
Nominati	omination Details Nominee 1 Nominee 2 Nominee 3																	
Nominee																		
*First Nai																		
Middle N		<b>:</b>																
*Last Nan	ne																	
*Percentag																		
alloca		of se	ecurit	ies														
Equal		.,																
specify per			, plea	ise														
OR	cent	agej																
$\bigcup_{\text{Share}}$	of ea	ch N	omin	ee														
									•									
					shal	l be transfe	erred to th	e first	nomir	iee me	ntione	ed in the f	form					
Nominatio Details - []				n														
one of follo																		
provide de																		
Photograp																		
□ PAN																		
☐ Aadhaa																		
Saving l			unt n	0														
Demat			D															
Demaci	1000																	
*Address:																		
*City																		
*0 .																		
*Country																		
Mobile no	/Tele	phoi	ne No															
Email ID:																		
FAX No.																		
*Relations	hin 1	vith t	he Rí	)·	-													
iciations	p v	• 1 till (	iic D(	,														

Date of Birth {in case of minor nominee(s)			
Name of Guardian (Mr./Ms.) (in case of minor nominee(s)			
Address of Guardian(s) City / Place:			
*Country			
Age			
Mobile /Telephone			
Email ID:			
Fax No.			
*Relationship of the Guardian with the Nominee			
Guardian Identification details Photograph & Signature PAN Aadhaar			
☐ Saving Bank account no ☐ Proof of Identity			
Demat Account ID			
	1		
	Name(s) of holder(s)	Signatui	re(s) of holder*
Sole / First Holder (Mr./Ms.)			
Second Holder (Mr./Ms.)			
Third Holder (Mr./Ms.)			

Annexure: B

	DAT	ГΕ									
То											
Trading Member/ Participant's Name Trading Member/ Participant's Address											
UCC/DP ID											
Client ID (only for Demat account)											
Sole/First Holder Name	•						•	•			
Second Holder Name											
Third Holder Name											
I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demataccount and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.											
Name and Signature of H	older(s)	*									

**Note** Residual securities: in case of multiple nominees remaining after distribution of securities as per percentage of allocation the first nominee

This nomination shall supersede any prior nomination made by me / us and also any testamentary document executed by me / us.

**Note:** One witness shall attest signature(s) / thumb impression(s) Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature [in both the cases i.e. nomination /negative nomination

<u> </u>	Details of the Witness								
Name of witness									
Address of witness									
Signature of witness									

The Depository Participant shall provide acknowledgement of the nomination form to the account holder(s)

I/We have received & read the Rights and Obligations document agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	First/Sole Holder or Guardian (in case of Minor)	Second Holder	Third Holder
Name			
Signatures	X		
Passport size Photograph			

(Signatures should be preferably in black ink).

**Acknowledgement Receipt** 

Application No.: Date:

We hereby acknowledge the receipt of the Account Opening Application Form:

Name of the Sole / First Holder	
Name of Second Holder	
Name of Third Holder	

- 1. Signatures can be in English, Hindi, or any of the other languages contained in the 8th schedule of the Constitution of India. Thumb Impressions and signatures other than the above mentioned languages must be attested by a Magistrate or a Notary Public or a special Executive Magistrate/Special Executive Officer under his/her official seal.
- 2. Signatures should be preferably in BLACK INK.
- 3. Details of the Names, Address and Tel. Number(s), etc., of the Magistrate /Notary Public/Special Executive Magistrate are to be provided in case of any attestation done by them.
- **4.** In case of additional signatures(for accounts other than individuals), separate annexures should be attached to the application form.
- **5.** In case of applications containing a Power of Attorney, the relevant Power of Attorney or the self-certified copy thereof, must be lodged along with the Application.
- **6.** All correspondence/queries shall be addressed to the first/Sole applicant only.
- 7. Where the holder is a minor, person lawfully entitled to act on behalf of the minor should sign the nomination.
- **8.** Strike off whichever option, in the Account Opening Form, is not applicable.
- 9. The following documents are to be submitted by the investors:
  - The introduction may not be required if the certified copies of any one of the following document is submitted by th BO for determining the intending BO bonafied: Photocopy of Election ID Card/Passport/Ration Card.
  - Date of Birth Certificate in case of Minors.
  - Proof of NRI Status.
  - Copy of RBI Approval for NRIs
  - One Passport size Photograph of each Account Holder.

#### 10.Bank Proof:

- (I) Photocopy of the cancelled cheque having the name of the account hold where the cheque book is issued, (or)
- (Ii) Photocopy of the Bank Statement having name and address of the BO and not more than 4 months old, (or)
  - (Iii) Photocopy of the Passbook having name and address of the BO, (or)
- (Iv) Letter from the Bank. In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document and it should be self-certified by the BO.

## Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories

#### General Clause

- 1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars/Notifications/Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
- 2. The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

#### **Beneficial Owner information**

- 3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
- 4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

#### Fees/Charges/Tariff

- 5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "no charges are payable for opening of demat accounts"
- 6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.
- 7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

#### Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

#### Page 2 of 4

#### **Separate Accounts**

- 9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
- 10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

#### **Transfer of Securities**

- 11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.
- 12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such

instructions.

#### Statement of account

- 13. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.
- 14. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.
- 15. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.

  16. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

#### Manner of Closure of Demat account

17. The DP shall have the right to close the demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no Page  $\bf 3$  of  $\bf 4$ 

charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.

18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

#### Default in payment of charges

- 19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
- 20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

#### Liability of the Depository

- 21. As per Section 16 of Depositories Act, 1996,
- 1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
- 2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

#### Freezing/ Defreezing of accounts

- 22. The Beneficial Owner may exercise the right to freeze/defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.
- 23. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

#### Redressal of Investor grievance

24. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

Page 4 of 4

#### Authorized representative

25. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

#### Law and Jurisdiction

- 26. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.
- 27. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.
- 28. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.
- 29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and /or SEBI
- 30. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.
- 31. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.

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#### **Definitions:**

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

- 1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
- 2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
- 3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
- 4. SMS means "Short Messaging Service"
- 5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
- 6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
- 7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

#### Availability:

- 1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
- 2. The service is currently available to the BOs who are residing in India.
- 3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
- 4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
- 5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

#### **Receiving Alerts:**

- 1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
- 2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
- 3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend

on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.

4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP

immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.

- 5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
- 6. The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to/ transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.
- 7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
- 8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
- 9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

#### Fees

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

#### Disclaimer:

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

#### **Liability and Indemnity:**

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

#### **Amendments:**

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

#### Governing Law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I / We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of **REGISTRATION / MODIFICATION** (Please cancel out what is not applicable).

BO	
ID	
(Please write your 8 digit DPID)	(Please write your 8 digit Client ID)
Sole/First Holder's Name:	
Second Holder's Name :	
Third Holder's Name :	
+91	
	Mobile Number on which massages are to be cent
	Mobile Number on which messages are to be sent
	(Please write only the mobile number without
	prefixing country code or zero)
The mobile Number is registered in the name of	f:

Email ID: (Please write only ONE email ID on which communication; if any, is to be sent):

First Holder	Second Holder	Third Holder
X SIGNATURE	SIGNATURE	SIGNATURE

## CHARGE STRUCTURE FOR DP CLIENTS

No.	PARTICULARS	Amount in Rs.
1.	Demat Account Opening	
	Documentation charges FAX Indemnity Power of Attorney(POA) Stamp Duty Charges	FREE FREE 300/-
2.	Annual Maintenance	300/- Individual 800/- CM/Corporates/Others
3.	Account Closing Charges	FREE
4.	Demat	5/- per Certificate plus Rs. 20/- per request plus courier charges
5.	Rematerialisation-per Certificate,(courier charges extra)	15/-per 100/- securities or part thereof or Rs.15/- per certificate whichever is higher.
6.	Debit Transaction  Market-Off market/Inter Depository Custody Charges	12/-(within Evermore DP) Rs. 18/- Outside Evermore DP FREE
7.	Pledge Creation	12/-PER ISIN
	Invocation	12/- PER ISIN
	Closure	12/- PER ISIN
8.	Other Transaction Late Transaction(Per Transaction) Transmission Nomination Modification of a/c detail Freezing/Defreezing Failed Instruction Additional Statement(Quaterly) Additional Statement(Annually) Cheque Dishonoured charges(Per Instance) Additional DIS Book(per book)	10/- FREE FREE FREE FREE 10/- 10/- 10/- 10/- 25/-
9.	Easiest/Easi	FREE

-			
X			
$\sim$			

## Additional KYC Form for opening a Demat Account For Non-Individuals

## Evermore Stock Brokers Pvt Ltd(DPID:72100)

Processing Office.: Unit No.3, 1st Floor, Raghuleela Mega Mall, Behind Poiser Bus Depot, Off. S.V.Road, Kandivali (W), Mumbai-400067. Ph: 022-42229999, Fax: 022-42229988, Email:CDSL@evermore.in DP ID-12072100

(To be filled by the De	posito	ry Pa	rticipa	ant)															
Application No.	Application No.			Date															
DP internal Reference	P internal Reference No.																		
DP 1 2 0	7	2	1	0	0	Client													
ID						ID													
(To be filled by the Ap	plicant	in B	LOCK	C LET	TERS	in Englis	h)												
We request you to ope	n a De	mat <i>I</i>	Accou	nt in r	ny/oı	ır name a	s per			ng d	eta	ils:							
Sole/First Holder's								1	PAN										
Name								Ī	JCC										
									Exchar										
								ſ	Name	& IL	)								
Second Holder's								1	PAN										
Name																			
Third Holder's								1	PAN										
Name																			
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Name*		(	( D	- · ( A	OD) D		E:	TT.			1 7	7		-1-	. 1	(1	1.	. (1.	_
*In case of Firms, Ass				,			•		_								_		
account is opened i						-					tne	Fl	rm	l, <i>I</i>	ASS	OC12	1101	n (	П
Persons(AOP),Partners	пір ғіі	m, c	nregi	sterea	Trusi	, snould	be me	entioi	ied ab	ove.									
Type of Account(Pleas	o tick r	which	ovor i	ic ann	licable	2)													
Type of Account(f leas	e tick v	VIIICI	Statu		псарт	=)							Su	ıb-S	Stat	115			
☐ Body Corporate ☐	☐ Ban	la l				o h						P							
OCB FII			□ F1		_	<ul><li>☐ Mutual Fund</li><li>☐ Clearing House</li><li>☐ To be filled by the DP</li></ul>													
☐ Other(specify)					_		0												
SEBI Registration No.(	o.(If SEBI Registration Date																		
Applicable)	•																		
RBI Registration No.(If		RBI Approval Date																	
Applicable)							P	F											
Nationality			☐ Indian ☐ Others(specify)																

		eive each and every credit in my/our account(If not [Automatic Credit]									
marked, the default opt		,									
I / We would like to instruct the DP to accept all the pledge instructions in my /our account without any other further instruction from my/our end											
( If not marked, the default option would be 'No')											
Account Statement	Account Statement ☐ As per SEBI Regulation ☐ Daily ☐ Weekly ☐ Fortnightly ☐ Monthly										
Requirement											
I/We request you to ser	nd Electronic Tra	ansaction-cum	n-Holding Sta	atement at the		Yes 🗆 No					
email Id			<del></del>								
I / We would like to sha	are the email ID	with the RTA				Yes □ No					
I / We would like to re	ceive the Annua	1 Report	☐ Physical /	/ D Electronic	· / 🗖 Bo	oth Physical and					
Electronic		a riep ort		<b>-</b> Erectionne	., _ 50	7411 1 11y 5 2 2 41 4 11 4 1					
(Tick the applicable box	If not marked	the default o	ntion would	be Physical)							
(Tiek the applicable box	. If not marked	the delaute	ption would	be i flysical)							
Do you wish to receive	dividend/intere	st directly in t	to vour bank	account given	below	☐ Yes ☐ No					
through ECS?(If not ma		•	•	O							
0 (	<u> </u>	1	,								
Clearing Member Deta	ils(To be filled	by CMs only	)								
Name of Stock Exchang	e										
Name of CC/CH											
Clearing Member Id			Trading	g Member ID							
						_					
Do you wish to receive	dividend / inter	est directly in	to your								
bank account given belo	ow through ECS?	? (if not mark	ed, the	☐ Yes ☐ No	)						
default option would be	e`Yes')										
[ECS is mandatory for le	ocations notified	l by SEBI from	n time to								
time ]											
FINANCIAL D	ETAILS:		_								
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Gross Annual Income de	etans(Flease Spec	спу):шсоше г	kange per An	inum							
☐ Below Rs.1 Lac [	□ 1-5 Lac [	□ 5-10 Lac	□ 10-	25 Lac	□ >25 La	acs					
Net-worth(should not be	Net-worth(should not be older than 1 year):  Amount Rs.										
			As on	DD/MN	A/YYYY						
Please tick If any of the	ne authorized si	ignatories / P	Promoters /	   Partners / Kai	rta / Tri	ıstees / Whole Time					
<u>-</u>		_									
Directors is either Politically Exposed Person (PEP) or Related to Politically Exposed Person (RPEP) . Please provide details as per Appeyure 2.2.4											
provide details as per All	provide details as per Annexure 2.2 A.										
Any Other Information:	-										

#### Bank Details(Dividend Bank Details)

Bank Code(9 digit MICR										
Code)										
IFS Code(11 character)										
Account Number				•						
Account Type	☐ Sa	vings	ПС	urren	ıt	thers(sp	ecify)_	 		
Bank Name										
Branch										
Bank Address										
	City					PII	V			
	State					Co	untry			

- (i)Photocopy of the cancelled Cheque having the name of the account holder where the cheque book is issued, (or)
- (ii)Photocopy of the Bank Statement having name and address of the BO
- (iii)Photocopy of the Passbook having name and address of the BO,(or)
- (iv)Letter from the Bank.
  - In case of option (ii),(iii) and (iv) above, MICR code of the branch should be present/mentioned on the document.

SMS Alert Facility  Refer to Terms &  Conditions	MOBILE NO. +91 [(Mandatory , if y	ou are giving Power	——— of Attorney / POA)]				
given as Annexure - 2.4	(if POA is not gra facility, cancel this	nted & you do not wis	ish to avail of this				
	\$I wish to avail t	he TRUST facility us	sing the Mobile number	er registered for			
	SMS Alert Facility	y. I have read and ı	understood the Terms	and Conditions			
\$ Transactions Using	prescribed by CD	SL for the same.	Yes	No			
Secured Texting Facility (TRUST)  Refer to Terms and		gister the following BO ID registered for	clearing member IDs	under my/our			
Conditions Annexure	Stock	Clearing Member	Clearing Member I	.D			
2.6	Exchange Name/ID	Name	(Optional)				
		. 1	1				
Easi	To register for easi, please visit our website  www.cdslindia.com.  Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.						

I/We have received and read the document of 'Rights and Obligation of BO-DP' (DP-CM agreement for BSE Clearing Member Accounts) including the schedules thereto and the terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	First/Sole Authorised	Second Authorised	Third Authorised
	Signatory	Signatory	Signatory
Name			
Designation			
Signature	Х		
Photograph of			
Authorised	Please Sign across the	Please Sign across the	Please Sign across the
Signatory (ies)	photograph	photograph	photograph

(In case of more authorized signatories, please add annexure)

SIGNATURES SHOULD BE PREFERABLY IN BLACK INK.

\_\_\_\_\_\_

(To be filled by the Depository Participant)

#### **Acknowledgement Receipt**

We hereby acknowledge the receipt of the Account Opening Application Form from:-

Name of the Sole/First Holder	
Name of the Second Holder	
Name of the Third Holder	

**Depository Participant Seal and Signature** 

#### Instructions to the Applicants (BOs) for Account Opening:

- Signatures can be in English, Hindi, or any of the other languages contained in the 8th Schedule of the Constitution of India. Thumb Impressions and signatures other than the above mentioned languages must be attested by a Magistrate or a Notary Public or a special Executive Magistrate/Special Executive Officer under his/her official seal.
- 2. Signatures should be preferably in **BLACK INK**.
- 3. Details of the Names, Address and Tel. Number(s), etc., of the Magistrate /Notary Public/Special Executive Magistrate /Special Executive Officer are to be provided in case of attestation done by them.
- 4. In case of additional signatures(for accounts other than individuals), separate annexures should be attached to the account opening form.
- 5. In case of applications containing a Power of Attorney, the relevant Power of Attorney or the self-certified copy thereof, must be lodged along with the application.
- 6. All correspondence/queries shall be addressed to the first/Sole applicant only.
- 7. Where the holder is a minor, person lawfully entitled to act on behalf of the minor should sign the nomination.
- 8. Strike off whichever option, in the account opening form, is not applicable.
- 9. The following documents are to be submitted by the investors:
  - The introduction may not be required if the certified copies of any one of the following document is submitted by the BO for determining the intending BO bonafied: Photocopy of Election ID Card/Passport/Ration Card.
  - Date of Birth Certificate in case of Minors.
  - Proof of NRI Status.
  - Copy of RBI Approval for NRIs
  - One Passport size Photograph of each Account Holder.
- 10.Bank Proof:(I) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
  - (Ii) Photocopy of the Bank Statement having name and address of the BO and not more than 4 months old, (or)
  - (Iii) Photocopy of the Passbook having name and address of the BO, (or)
  - (Iv) Letter from the Bank.

In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document and it should be self-certified by the BO.

#### **ANNEXURE-A**

#### Terms And Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSLDefinitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

- 1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
- 2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
- 3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
- 4. SMS means "Short Messaging Service"
- 5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
- 6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
- 7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

#### **Availability:**

- 1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
- 2. The service is currently available to the BOs who are residing in India.
- 3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
- 4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
- 5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

#### **Receiving Alerts:**

- 1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
- 2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off'' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
- 3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend

on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.

- 4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
- 5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
- 6. The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to/ transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.
- 7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
- 8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
- 9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

#### Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

#### Disclaimer:

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

#### **Liability and Indemnity:**

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

#### **Amendments:**

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

#### **Governing Law and Jurisdiction:**

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I / We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of **REGISTRATION / MODIFICATION** (Please cancel out what is not applicable).

ID																	
		(1	Please	write y	our 8 d	igit DPI	D)				Please	e wri	te yo	ur 8 dig	git Clier	nt ID)	
Seco	First Ho nd Hold Holder	ler's Na	ame :														
								+91 Mobile									
	nobile     ID: (Pl		·					ommun	icatior	i; if an	y,is to	be					

First Holder	Second Holder	Third Holder
X SIGNATURE	SIGNATURE	SIGNATURE

Place:

sent):\_

### CHARGE STRUCTURE FOR DP CLIENTS

No.	PARTICULARS	Amount in Rs.
1.	Demat Account Opening Documentation charges FAX Indemnity Power of Attorney(POA) Stamp Duty Charges	FREE FREE 300/-
2.	Annual Maintenance	300/- Individual 800/- CM/Corporates/Others
3.	Account Closing Charges	FREE
4.	Demat	5/- per Certificate plus Rs. 20/- per request plus courier charges
5.	Rematerialisation-per Certificate,(courier charges extra)	15/- per 100/- securities or part thereof or Rs.15/- per certificate whichever is higher.
6.	Debit Transaction  Market-Off market/Inter Depository  Custody Charges	12/-(within Evermore DP) Rs. 18/- Outside Evermore DP FREE
7.	Pledge Creation Invocation Closure	12/-PER ISIN 12/- PER ISIN 12/- PER ISIN
8.	Other Transaction Late Transaction(Per Transaction) Transmission Nomination Modification of a/c detail Freezing/Defreezing Failed Instruction Additional Statement(Quaterly) Additional Statement(Annually) Cheque Dishonoured charges(Per Instance) Additional DIS Book(per book)	10/- FREE FREE FREE 10/- 10/- 10/- 10/- 25/-
9.	Easiest/Easi	FREE

То						
Evermore Stock Bro						
Depository Departm	nent					
I/We			S1			
hold a Beneficiary ac	ccount no. 12072100	(BO-ID	) <b>S2</b>			
with Evermore Stock	k Brokers Pvt Limited, deposi	tory participant of Central D	Depository Services			
S3						
(India) Limited, bear	ring DP-ID 12072100.					
_	g opted to receive the statem	•				
	ronic mode in lieu of physic-					
<u>-</u>	f statement of account to me	<del>-</del>				
full and absolute discharge of your obligation to provide me/us with statement of my/our BO						
	reserve my/ our right to re					
	reserve my/ our right to re e same in electronic mode, if					
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despite receiving the	e same in electronic mode, if	such a demand is made in w	vriting on you.			
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Email address :	e same in electronic mode, if	such a demand is made in w	riting on you.  \$1 \$2 \$3 structions with regard to			
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## Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories

#### General Clause

- 1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars/Notifications/Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
- 2. The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

#### **Beneficial Owner information**

- 3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
- 4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

#### Fees/Charges/Tariff

- 5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "no charges are payable for opening of demat accounts"
- 6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.
- 7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

#### Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

#### **Separate Accounts**

- 9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
- 10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

#### Transfer of Securities

11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.

12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.

#### Statement of account

- 13. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.
- 14. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.
- 15. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.
- 16. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

#### Manner of Closure of Demat account

- 17. The DP shall have the right to close the demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.
- 18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

#### Default in payment of charges

- 19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demant account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
- 20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

#### Liability of the Depository

- 21. As per Section 16 of Depositories Act, 1996,
- 1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
- 2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

#### Freezing/ Defreezing of accounts

- 22. The Beneficial Owner may exercise the right to freeze/defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.
- 23. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

#### Redressal of Investor grievance

24. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

#### Authorized representative

25. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

#### Law and Jurisdiction

- 26. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.
- 27. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.
- 28. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.
- 29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Byelaws and Regulations and circulars/notices issued there under by the depository and /or SEBI
- 30. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.
- 31. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.

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Date:	
To, Evermore Stock Brokers Pvt Ltd Unit-3/ 1 <sup>St</sup> Floor , Raghuleela Mega Mall, Kandivali(W),Mumbai-400067	
Ref: Authority to Debit the Trading Account for the	ne Depository Charges
I/We	having my/our Demat
Account No.12072100	with Evermore Stock
Brokers Pvt Ltd., and address at	
h	ereby request & authorize Evermore Stock Brokers
Pvt Ltd., as a Depository Participant to Debit my Tr	rading A/c No
With Evermore Stock Brokers Pvt Ltd for the Depo	sitory Charges.
My Details are as follows:	
CLIENT NAME	SIGNATURE
	X

## SPECIMEN OF BOARD RESOLUTION ON COMPANY LETTER HEAD

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		ies (signatu ned against.	res) are autho	rized to oper	ate the	se accou	ınt a per t	he mode	of
Sr. No		Name	De	signation		ode of eration		gnature	
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For (Na	ame of the	e Company)							
Author	rised Sign	atory/Direc	etor						

#### **AUTHORITY LETTER IN FAVOUR OF MANAGING PARTNER/(S)**

(To be printed on pre-printed Letterhead of Partnership Firm) (This letter is to be obtained only if the Client is a Partnership Firm)

To,
The Manager, Depository Services
Evermore Stock Brokers Pvt Ltd
Corp Off: Unit-3/ 1st Floor,
Raghuleela Mega Mall,
Kandivali(W), Mumbai-400067

- (1) I/We have submitted an application for opening a single/joint application a beneficial owner (BO) account in the category " $\Box$ individual $\Box$  $\Box$  for holding carrying out transactions in respect of securities belonging to our firm, since as per the clarification issued by department of company affairs vide its circular no. 5/75 (8/18/75-cl-v) dated march 31, 1975, partnership firm is not capable of being a member within meaning of section 41 of the companies act, 1956.
- (2) I / we represent the firm.
- (3) In consideration of you have agreed to accept the permanent account number (PAN) issued by the income tax department (ITD) to the firm along with our individual identity documents, I / we acknowledge & undertake as under ;-
- (A) The acceptance of PAN number of our firm does not amount to you having taken notice of trust or recognize our firm.
- (B) I /we shall continue to responsible for complying with relevant provisions of the companies act, 1956, & rules made hereunder & other applicable laws failing which I/ we shall be responsible for consequences thereof.

Yours faithfully,							
Signature(s):							
	First Holder	Second Holde	r Third Ho	lder			
Signature							
	X						
Name of the Partner(s)							
2 411111(0)							